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CASE D0273 NP

#### CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Stephen C. D'Amico

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Signature

8-12-04

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

HUANG ET AL.

**APPLICATION NO: 10/648,593** 

FILED: AUGUST 26, 2003

FOR: IDENTIFICATION OF POLYNUCLEOTIDES FOR PREDICTING

ACTIVITY OF COMPOUNDS THAT INTERACT WITH AND/OR MODULATE PROTEIN TYROSINE KINASES AND/OR PROTEIN

TYROSINE KINASE PATHWAYS IN BREAST CELLS

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-3880.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Stephen C. D'Amico Agent for Applicants

Reg. No. 46,652

Bristol-Myers Squibb Company Patent Department P.O. Box 4000 Princeton, NJ 08543-4000 (609) 252-5289

Date: 8-/3-04

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FORM PTO-1449 (REV. 7-85)

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

### **INFORMATION DISCLOSURE CITATION**

Hose several sheets if necessary)

ATTY. DOCKET NO. D0273 NP APPLICATION NO. 10/648,593 APPLICANT HUANG ET AL. FILING DATE AUGUST 26, 2003

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CL	ASS SUBC	LASS	FILING DATE
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	AB							
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	АМ							
	AN			110				
	AO							

# OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

А	AR	Cockett, et al., "Applied genomics:integration of the technology within pharmaceutical research and development", Current Opinion in Biotech, Vol. 11, pp. 602-609 (2000)
A	\S	Sonneveld, P., "Multidrug resistance in haematological malignancies", J. Internal Med., Vol. 247, pp. 521-534 (2000)
A	λT	Alizadeh, et al., "Distinct types of diffuse large B-cell lymphoma identifie by gene expression profiling", Nature, Vol. 403, pp. 503-511 (2000)
EXAMINER		DATE CONSIDERED

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

FORM PTO-1449 (REV. 7-85)

AUG 1 9 2004

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## INFORMATION DISCLOSURE CITATION

(Use-several sheets if necessary)

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Group

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

TO AMERICA	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)
2AA	Bittner, et al., "Molecular classification of cutaneous malignant melanoma by gene expression
2AB	van't Veer, et al., "Gene expression profiling predicts clinical outcome of breast cancer", Nature, Vol. 15, pp. 530-536 (2002)
2AC	Khan, et al., "Classification and diagnostic prediction of cancers using gene expression profiling and artificial neural networks", Nature Medicine, Vol. 7, pp. 673-679 (2001)
2AD	Shipp, et al., "Diffuse large B-cell lymphoma outcome prediction by gene-expression profiling and supervised machine learning", Nature Medicine, Vol. 8, pp. 68-74 (2002)
2AE	Golub, et al., "Molecular Classification of Cancer: Class Discovery and Class Prediction by Gene Expression Monitoring", Science, Vol. 286, pp. 531-537 (1999)
2AF	Alon, et al., "Broad patterns of gene expression revealed by clustering analysis of tumor and normal colon tissues probed by oligonucleotide arrays", PNAS, Vol. 96, pp. 6745-6750 (1999)
2AG	West, et al., "Predicting the clinical status of human breast cancer by using gene expression profiles", PNAS, Vol. 98(20), pp. 11462-11467 (2001)
2AH	Sorlie, et al., "Gene expression patterns of breast carcinomas distinguish tumor subclasses with clinical implications", PNAS, Vol. 98(19), pp. 10869-10874 (2001)
2AI	Blanchard, et al., "Sequence to array: Probing the genome's secrets", Nature Biotechnology, Vol. 14, pp. 1649 (1996)
2AJ	Khan, et al., "Gene Expression Profiling of Alveolar Rhabdomyosarcoma with cDNA Microarrays", Cancer Res., Vol. 58, pp. 5009-5013 (1998)
2AK	Lockhart, et al., "Expression monitoring by hybridization to high-density oligonucleotide arrays", Nature Biotechnology, Vol. 14, pp. 1675-1680 (1996)
2AL	Freeman, et al., "Fundamentals of DNA Hybridization Arrays for Gene Expression Analysis", BioTechniques, Vol. 29, pp. 1042-1055 (2000)
2AM	Schena, et al., "Quantitative Monitoring of Gene Expression Patterns with a Complementary DNA Microarray", Science, Vol. 270, pp. 467-470 (1995)
2AN	
EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.